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# TRANSPARENCY IN HEALTH ENGAGEMENT PROJECT

WORKSHOP ON BEST PRACTICE MODELS OF ASSET DECLARATION SYSTEMS  
TO MAXIMIZE TRANSPARENCY

29 OCTOBER 2018

# Theme I: Projection of an Asset Declaration System with Online Submission and Publication

- Presentation of the existing models of the asset declaration system with online submission and publication
- Legal requirements and the specific needs of the oversight body

# Implementation and Impact of such a System

- The procedures for financial verification
  - Administrative type of investigations and is subject to judicial control
  - Verify wealth and interest statements
  - Control the filling-in on time of the statements
  - Assess the failure to follow legal provisions regarding conflicts of interest
  - Incompatibilities and wealth
  - Act according to the law if necessary
    - Formulate complaints to the criminal investigation bodies
    - If there is evidence or solid clues regarding the existence of such activity

# Risk evaluation process for Online Asset Declaration System – Risk Matrix

- This risk assessment is provided as an example only to demonstrate possible scenarios which may be applicable
- Accordingly, additional or alternate risks and control measures may be applicable

Based on the **Law No. 9049 dated 10.04.2003 ‘On the Declaration and Audit of Assets, Financial Obligations of Elected Persons, and certain Public Officials’** (as amended).

# Law No. 9049

## Article I Purpose:

- The purpose of this law is the determination of rules for the declaration and audit of assets, the legitimacy of the sources of their creation, the financial obligations of elected persons, public employees, their families and persons related to them.

## Article 3/I Declaration of Assets for Candidates, for different positions in the Institutions of the Justice System (Amended by Law No. 42/2017, article 3)

- The High Inspectorate shall conduct the full Audit for the verification of the accuracy and authenticity of the data in the declaration within 2 months, from the submission of the declaration, unless otherwise provided in the law. Upon completion of the verification, the Inspector General shall send the report on the verification, immediately to all relevant institutions.

# Risk Assessment Tool

<b>Task/Activity</b>	<b>Risk</b>	<b>Control Measures</b>	<b>Risk Assessment After Controls</b>	<b>Person responsible</b>	<b>Competition date</b>
<b>Full Audit for the verification</b>					
<b>Establishing accuracy of the data in the declaration</b>					
<b>Establishing authenticity of the data in the declaration</b>					
<b>Verification by relevant institutions.</b>					

# Risk Assessment Matrix

I. Full audit for the verification

Risk assessment	Consequences				
	Negligible	minor	moderate	major	Severe
Certain to occur					
Very likely					
Possibly					
Unlikely					
Rare					

# Risk Assessment Matrix

## 11. Establishing accuracy of the data in the declaration

Risk assessment	Consequences				
	Negligible	minor	moderate	major	Severe
Certain to occur					
Very likely					
Possibly					
Unlikely					
Rare					



# Risk Assessment Matrix

III. Establishing authenticity of the data in the declaration

Risk assessment	Consequences				
	Negligible	minor	moderate	major	Severe
Certain to occur					
Very likely					
Possibly					
Unlikely					
Rare					

# Risk Assessment Matrix

## IV. Verification by relevant institutions

Risk assessment	Consequences				
	Negligible	minor	moderate	major	Severe
Certain to occur					
Very likely					
Possibly					
Unlikely					
Rare					

## Note:

- After the Risk Assessment matrixes will be fulfilled in the group work, the risk assessment tool will be completed.
- Open for comments as this is the example provided by the STE ST

Thank you!

Q/A